

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK0001569147

Filer CCCXXXXXXXX

Is this a LIVE or TEST Filing?

☒ LIVE

☐ TEST

Submission Contact Information

Name

Phone

E-Mail Address

144: Issuer Information

Name of Issuer

SEC File Number

Address of Issuer

Phone

Name of Person for Whose Account the Securities are To Be Sold

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

BANC OF CALIFORNIA, INC.
001-35522
11611 San Vicente
Boulevard, Suite 500
Los Angeles
CALIFORNIA
90049
855.361.2262
PL Capital Advisors, LLC

Former Director

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common Stock	Keefe, Bruyette & Woods, Inc. 787 7th Avenue New York NY 10019	1750000	34475000	153724787	06/11/2026	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition	Name of Person from	Is this	Date Donor	Amount of Securities	Date of Payment	Nature of Payment *
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Transaction	Whom Acquired	a Gift?	Acquired	Acquired
Common Stock 04/23/2014 Open Market	NA	☐	1750000	12/31/2016 Cash

* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Nothing to Report ☒

144: Remarks and Signature

Remarks

Under "Securities Information," the name and the address of additional brokers that may be used are as follows: Stifel, Nicolaus & Company, Incorporated, 501 North Broadway, St. Louis, MO 63102; RBC Capital Markets, LLC, 200 Vesey Street, NY, NY 10281; and BTIG, LLC, 65 East 55th Street, NY, NY 10022. Under "Securities Information," the number of shares to be sold includes 1,000,000 shares of common stock to possibly be sold via listed call option transactions. Under "Securities To Be Sold," the date of acquisition and the date of payment are various dates between April 23, 2014 and March 14, 2024.

Date of Notice

06/11/2026

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Richard J. Lashley

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)